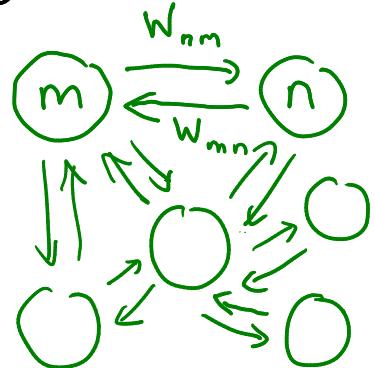
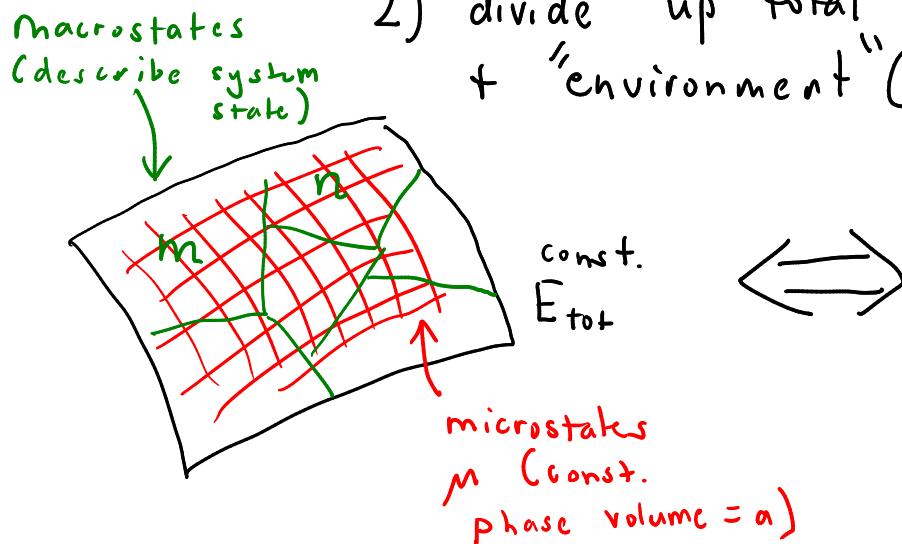


- Summary:
- 1) isolated <sup>total</sup> system w/ const total energy  $E_{\text{tot}}$  which is ergodic + mixing
  - 2) divide up total into "system" (focus) + "environment" (rest)



- 3) network of transitions w/ matrix  $W$  whose entries satisfy local detailed balance (LDB):

$$P_n^s = \frac{\Omega_n}{\Omega_{\text{tot}}} \xrightarrow{\# \text{ microst. in macrost.}} n$$

$$W_{nm} P_m^s = W_{mn} P_n^s$$

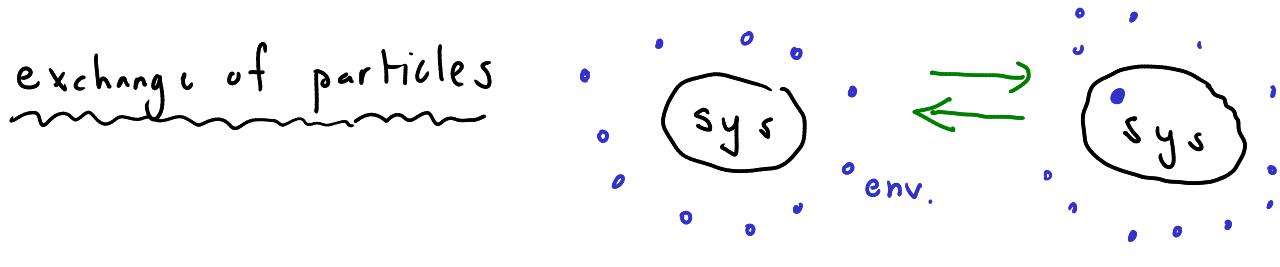
Consequences of LDB:

- 1) microscopic reversibility (MR):
  - if  $W_{nm} \neq 0 \Rightarrow W_{mn} \neq 0$
  - if arrow exists in one direc., has to exist in reverse
  - $\Rightarrow$  get a unique stationary state
- 2) Can we describe this stationary state?

To do this, we need a couple more assumptions:

- $\Theta_n$  = counts # of microstates available to environ. When sys. is in state  $n$  + hence should depend on conserved quantities describing environ.

examples: energy  $E_{\text{tot}} = E_n + E_{\text{env}} \Rightarrow E_n^{\text{env}} = E_{\text{tot}} - E_n$   
 sys env.



$$N_{\text{tot}}^{\text{part}} = N_n^{\text{part}} + N_n^{\text{part, env}}$$

# part. in tot.      sys      env.

$\Theta_n$  is a function of conserved quantities:

$$\Theta_n = \Theta(E_n^{\text{env}}, N_n^{\text{part, env}}, \dots)$$

↑  
universal function ( $\approx$  continuous)

focus on case where only energy is exchanged:

LDB:  $W_{nm} p_m^S = W_{mn} p_n^S$

$\uparrow \frac{\Theta_m}{\Theta_{\text{tot}}}$        $\uparrow \frac{\Theta_n}{\Theta_{\text{tot}}}$

$$\Rightarrow \frac{W_{nm}}{W_{mn}} = \frac{\Theta_n}{\Theta_m} = \frac{\Theta(E_{\text{tot}} - E_n)}{\Theta(E_{\text{tot}} - E_m)}$$

env >> system:  $E_{\text{tot}} \gg E_n$  Taylor expand!

$$\frac{W_{nm}}{W_{nn}} = \exp \left[ \ln \Theta(E_{\text{tot}} - E_n) - \ln \Theta(E_{\text{tot}} - E_m) \right]$$

$$\begin{aligned} \ln \Theta(E_{\text{tot}} - E_n) &\approx \ln \Theta(E_{\text{tot}}) - \frac{\partial \ln \Theta}{\partial E} \Big|_{E_{\text{tot}}} \Big|_{E_n + \dots} \\ &= \ln \Theta(E_{\text{tot}}) - \beta E_n + \dots \end{aligned}$$

$$\Rightarrow \frac{W_{nm}}{W_{nn}} = \exp \left[ -\beta (E_n - E_m) + \dots \right]$$

traditionally:

$$\beta \equiv \frac{1}{k_B T} = \frac{\partial \ln \Theta}{\partial E} \Big|_{E_{\text{tot}}}$$

definition of temp.  $T$

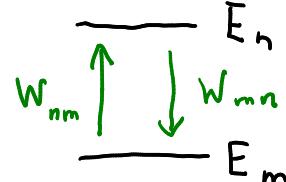
$$T = \text{units of Kelvins (K)} \quad k_B = \text{Boltzmann's const.} \quad = 1.38 \times 10^{-23} \text{ J/K}$$

$$k_B T = \text{units of J}$$

$$\beta = \text{units of } J^{-1}$$

↓ how "easy" it is to get energy from env. case:

$$\frac{\text{uphill}}{\text{downhill}} = \frac{W_{nm}}{W_{nn}} = e^{-\beta \underbrace{(E_n - E_m)}_{\text{energy diff.}}}$$



$$E_n > E_m \quad \beta > 0$$

= needs to be donated from env. if uphill trans.

$\beta > 0$  ( $T > 0$ ):  $\frac{\text{uphill}}{\text{downhill}} \perp \mid$  environ. is "stingy": less likely to give than to take away energy

(typical for env >> sys)

$\beta \rightarrow +\infty$  ( $T \rightarrow 0^+$ ):  $\frac{\text{uphill}}{\text{downhill}} \rightarrow 0$  all uphill trans.  
are forbidden  
(absolute zero temp.)

$\beta \rightarrow 0^+$  ( $T \rightarrow +\infty$ ):  $\frac{\text{uphill}}{\text{downhill}} \rightarrow 1$  uphill trans.  
equally likely to  
downhill

untypical case:

$\beta < 0$  ( $T < 0$ ):  $\frac{\text{uphill}}{\text{downhill}} > 1$  extremely  
generous environ.

⇒ how do we  
get this?

next time: example of a  
"gas" of spins

$\ln \Theta(E_{\text{tot}})$

