

$$\hat{\rho} = \sum_n p_n |\psi_n\rangle \langle \psi_n| \quad \text{ensemble: } |\psi_n\rangle \text{ appears w/ prob. } p_n$$

observable  $\hat{H}$ :  $\langle H \rangle = \text{tr}(\hat{\rho} \hat{H})$

example: ensemble A:

	<u>states</u>	<u>prob</u>
$\hat{H} 0\rangle = E_0 0\rangle$	$ 0\rangle$	$p$
$\hat{H} 1\rangle = E_1 1\rangle$	$ 1\rangle$	$1-p$

ensemble B:

	$ u\rangle$	$\frac{1}{2}$
	$ v\rangle$	$\frac{1}{2}$

$$|u\rangle \equiv \sqrt{p}|0\rangle + \sqrt{1-p}|1\rangle$$

$$|v\rangle \equiv \sqrt{p}|0\rangle - \sqrt{1-p}|1\rangle$$

turns out:

A:  $\hat{\rho}_A = p|0\rangle\langle 0| + (1-p)|1\rangle\langle 1|$

B:  $\hat{\rho}_B = \frac{1}{2}|u\rangle\langle u| + \frac{1}{2}|v\rangle\langle v|$

$$\hat{\rho}_A = \hat{\rho}_B = \hat{\rho}$$

Because  $\hat{\rho}$  is same for both ensembles

$\Rightarrow$  any observable avgs measured from ensembles will be same

idea that  $\hat{\rho}$  can correspond to more than one ensemble  $\Rightarrow$  decompositions of

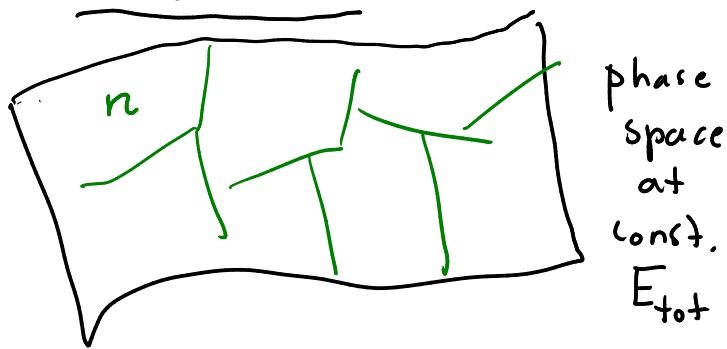
$\hat{\rho}$ . In general:  $\infty$  # of decomp. for any mixed ensemble

$\Rightarrow$  makes it problematic to use  $p_n$  for a given ensemble to define things like entropy

takeaway: 1) have to focus on  $\hat{\rho}$ , not  $p_n$  for time evolution, etc.

2) have to be careful about entropy

classical system



$p_n =$  frac. of ensemble in state  $n$

$$S(\vec{p}) = -k_B \sum_n p_n \ln p_n$$

quantum system

von Neumann solution: choose the decomp. of  $\hat{\rho}$  where states  $|\phi_n\rangle$  are all orthog. to each other

$\Rightarrow$  guarantees distinguishability

why it works:  $\hat{\rho}$  is Hermitian, real #'s  
arbitrary decomp:  $\hat{\rho} = \sum_n \tilde{p}_n |\psi_n\rangle \langle \psi_n|$

classical states are distinguishable: if we meas. we are in state  $n$ , definitely not in state  $m \neq n$

$$\hat{\rho}^\dagger = \sum_n \tilde{p}_n |\psi_n\rangle \langle \psi_n| = \hat{\rho}$$

there exists a basis of e-vects:  $\hat{\rho} |\phi_n\rangle = p_n |\phi_n\rangle$   
 $\hookrightarrow$  e-val

$$\langle \phi_n | \phi_m \rangle = \delta_{nm}$$

diagonalize  $\hat{\rho}$ :  $\hat{\rho} = \sum_{n=1}^N p_n |\phi_n\rangle \langle \phi_n|$  orthonormal  
decomp.  
(OO)

$\{|\phi_n\rangle\}$   
basis

$$\rho = \begin{pmatrix} p_1 & & & 0 \\ & \cdot & & \\ & & \cdot & \\ 0 & & & \cdot \\ & & & & p_N \end{pmatrix}$$

$N =$  dim. of  
Hilbert space

ensemble:

<u>States</u>	<u>prob</u>
$ 0\rangle$	$1/2$
$\frac{1}{\sqrt{2}} ( 0\rangle +  1\rangle)$	$1/2$

$$\hat{\rho} = \sum_{n=1}^M \tilde{p}_n |\psi_n\rangle \langle \psi_n| = \sum_{i,j=1}^N \rho_{ij} |i\rangle \langle j|$$

$M \neq N$

basis  $\{|i\rangle, i=1, \dots, N\}$   $\rho_{ij} = \langle i | \hat{\rho} | j \rangle$

in general  $\rho_{ij}$  has comp's everywhere

but in special basis  $\{|i\rangle = |\phi_i\rangle\}$

$\Rightarrow \rho_{ij}$  is ~~is~~ 0 when  $i \neq j$

von Neumann definition of entropy:

- i) take  $\hat{\rho}$
- ii) calculate ON decomp. (diagonalize  $\hat{\rho}$ )
- iii) e-vals  $p_n \Rightarrow$

$$S(\hat{\rho}) \equiv - \sum_n p_n \ln p_n$$

$k_B$  usually  
left out

What is the problem?

Time evolution of  $\hat{\rho}(t)$ :

simplest case: isolated system (no interactions w/ rest of universe)

closed  
quantum  
system

described by Hamilt.  $\hat{H}(t)$

wave function  $|\psi(t)\rangle$ :

$$i\hbar \frac{\partial}{\partial t} |\psi(t)\rangle = \hat{H}(t) |\psi(t)\rangle$$

$$|\psi(t)\rangle = \hat{U}(t) |\psi(0)\rangle$$

$\hat{U}$  unitary operator:  
propagator

$$\hat{U}^\dagger(t) \hat{U}(t) = \hat{I} = \hat{U}(t) \hat{U}^\dagger(t)$$

special case:  $\hat{H}(t) = \hat{H} \Rightarrow \hat{U}(t) = e^{-i\hat{H}t/\hbar}$

ensemble:

<u>t = 0</u>		$\rightsquigarrow$	<u>later time t</u>	
<u>prob.</u>	<u>state</u>		<u>prob.</u>	<u>state</u>
$P_1$	$ \phi_1(0)\rangle$		$P_1$	$ \phi_1(t)\rangle = \hat{U}(t)  \phi_1(0)\rangle$
$P_2$	$ \phi_2(0)\rangle$		$P_2$	$ \phi_2(t)\rangle = \hat{U}(t)  \phi_2(0)\rangle$
$\vdots$	$\vdots$		$\vdots$	$\vdots$

$$\begin{aligned} \hat{\rho}(t) &= \sum_n P_n |\phi_n(t)\rangle \langle \phi_n(t)| \\ &= \sum_n P_n \hat{U}(t) |\phi_n(0)\rangle \langle \phi_n(0)| \hat{U}^\dagger(t) \\ &= \hat{U}(t) \left[ \sum_n P_n |\phi_n(0)\rangle \langle \phi_n(0)| \right] \hat{U}^\dagger(t) \\ &= \hat{U}(t) \hat{\rho}(0) \hat{U}^\dagger(t) \end{aligned}$$

$$\hat{\rho}(t) = \hat{U}(t) \hat{\rho}(0) \hat{U}^\dagger(t)$$

dynamics of  $\hat{\rho}(t)$  for closed qu. sys.

let's say we start in OD at  $t=0$

claim: we are still in OD at  $t > 0$

$$\begin{aligned}
\langle \phi_n(t) | \phi_m(t) \rangle &= \\
&\langle \phi_n(0) | \hat{U}^\dagger(t) \hat{U}(t) | \phi_m(0) \rangle \\
&= \langle \phi_n(0) | \phi_m(0) \rangle \\
&= \delta_{nm}
\end{aligned}$$

$\hat{\rho}(t)$  stays diag. in  $\{ |\phi_n(t)\rangle \}$

$$S(\hat{\rho}(t)) = - \sum_n p_n \ln p_n = S(\hat{\rho}(0))$$

$S(\hat{\rho})$   
not  
same  
 $S(t)$

von Neumann entropy for closed sys,  
never increases!

a classical ergodic + mixing sys.

$$S(t) \xrightarrow[t \rightarrow \infty]{} S^{eq} = k_B \ln N \quad \text{maximum}$$

increases